

FORM PTO-1390
(REV. 9-2001)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

17420/4:2

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

10/031265

INTERNATIONAL APPLICATION NO.
PCT/US00/12335INTERNATIONAL FILING DATE
May 4, 2000PRIORITY DATE CLAIMED
May 5, 1999

TITLE OF INVENTION

FIBER-OPTIC LIGHT LINE FOR USE IN AN INSPECTION SYSTEM

APPLICANT(S) FOR DO/EO/US

George M. Carman and Patrick S. Freeman

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
 1. Copy of International Search Report
 2. Copy of International Preliminary Examination Report
 3. Copy of Notification of Receipt of Demand by Competent International Preliminary Examining Authority

10031265-102504

U.S. APPLICATION NO. (if not in use, see 37 CFR 1.53) **10/031265** INTERNATIONAL APPLICATION NO.
 PCT/US00/12335

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 21. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

 Neither international preliminary examination fee (37 CFR 1.482)
 nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
 and International Search Report not prepared by the EPO or JPO **\$1040.00**

 International preliminary examination fee (37 CFR 1.482) not paid to
 USPTO but International Search Report prepared by the EPO or JPO **\$890.00**

 International preliminary examination fee (37 CFR 1.482) not paid to USPTO
 but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$740.00**

 International preliminary examination fee (37 CFR 1.482) paid to USPTO
 but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$710.00**

 International preliminary examination fee (37 CFR 1.482) paid to USPTO
 and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00**
ENTER APPROPRIATE BASIC FEE AMOUNT =
CALCULATIONS PTO USE ONLY

\$ 100.00

 Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30
 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ |
|---|--------------|--------------|-------------------|---------|
| Total claims | 15 - 20 = | 0 | x \$18.00 | \$ 0.00 |
| Independent claims | 2 - 3 = | 0 | x \$84.00 | \$ 0.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$280.00 | \$ |

TOTAL OF ABOVE CALCULATIONS = \$ 100.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
 are reduced by 1/2.

\$

SUBTOTAL = \$ 50.00

 Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30
 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE = \$

 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property +

\$ 40.00

TOTAL FEES ENCLOSED = \$ 90.00

 Amount to be
 refunded:

\$

charged:

\$

- a. ☒ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
 A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
 overpayment to Deposit Account No. 19-4455. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card
 information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Michael L. Levine
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 503-224-3380
 Facsimile: 503-220-2480

SIGNATURE

Michael L. Levine

NAME

33,947

REGISTRATION NUMBER

10/031265

4 Rec'd PCT/PTO 25 OCT 2001

Application No.:

Filing Date:

Inventors: George M. Carman and Patrick S. Freeman

Title: **FIBER-OPTIC LIGHT LINE FOR USE IN AN INSPECTION SYSTEM**


Attorney Docket No.: 17420/4:2 USA

CERTIFICATE OF EXPRESS MAILING

"Express Mail" mailing label number EL822441253US

Date of Deposit October 25, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS
BEING DEPOSITED WITH THE UNITED STATES
POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO
ADDRESSEE" SERVICE ON THE DATE INDICATED
ABOVE AND IS ADDRESSED TO: BOX PATENT
APPLICATION, ASSISTANT COMMISSIONER FOR
PATENTS, WASHINGTON, D.C. 20231.


Signature

Kathy L. Skeels

Typed or printed name of person signing Certificate

Attachments:

- ☒ Transmittal Letter - Form PTO-1390 (in duplicate)
- ☒ Preliminary Amendment
- ☒ Combined Declaration and Power of Attorney
- ☒ Assignment, together with Assignment Cover Letter
- ☒ Information Disclosure Statement
- ☒ Form PTO-1449 with copies of cited references
- ☒ Copies of International Search Report, International Preliminary Examination Report, and Notification of Receipt of Demand
- ☒ Check for \$90.00
- ☒ Return Receipt Postcard